



May 30, 2022

To,
BSE Limited
Phiroze Jeejeebhoy Towers,
Dalal Street, Fort,
Mumbai-400 001

National Stock Exchange of India Limited
Exchange Plaza, Bandra-Kurla Complex,
Bandra (East),
Mumbai - 400 051

Scrip code: 512529

Scrip code: SEQUENT

Sub: Annual Secretarial Compliance Report for the Financial Year 2021-22

Dear Sir/Madam,

Pursuant to Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, read with SEBI circular no. CIR/CFD/CMD1/27/2019 dated February 8, 2019, please find enclosed Annual Secretarial Compliance Report of Sequent Scientific Limited issued by M/s. Nilesh Shah & Associates, Practicing Company Secretaries, for the year ended March 31, 2022.

Kindly take the above on records.

For **Sequent Scientific Limited**

Krunal Shah
Company Secretary & Compliance Officer



Encl: A/a

SeQuent Scientific Limited

Registered Office: 301, 3rd Floor, Dosti Pinnacle, Plot No. E7, Road No. 22, Wagle Industrial Estate, Thane (W), Mumbai - 400604, India

Tel: +91-22-4111-4777 | CIN: L99999MH1985PLC036685

Website: <http://www.sequent.in> | Email Id: investorrelations@sequent.in

NILESH SHAH & ASSOCIATES

Company Secretaries

ANNUAL SECRETARIAL COMPLIANCE REPORT FOR THE FINANCIAL YEAR ENDED 31ST MARCH, 2022

[Pursuant to Regulation 24A of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015]

To
The Board of Directors,
Sequent Scientific Limited
301, 3rd Floor, Dosti Pinnacle,
Plot No. E7, Road No. 22,
Wagle Industrial Estate,
Thane West - 400 604

Dear Sir / Madam,

We, Nilesh Shah & Associates, Company Secretaries in Practice have examined:

- (a) all the documents and records made available to us and explanation provided by **Sequent Scientific Limited** (hereinafter called "**the Company**");
- (b) the filings/ submissions made by the listed entity to the stock exchanges;
- (c) website of the listed entity; and
- (d) other document/ filing, as may be relevant, which has been relied upon to make this certification;

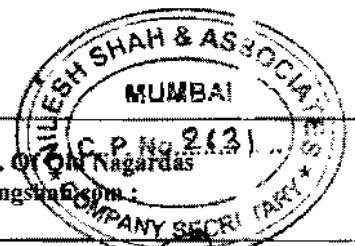
for the financial year ended **31st March, 2022** in respect of compliance with the provisions of the:

- (a) Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- (b) Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, which includes the:

Office: 211-(Back Side) 2nd Floor, Building No.1, Sona Udyog, Parsi Panchayat Road, Extn. of C. P. No. 213, Nagardas Road, Andheri (East), Mumbai- 400 069. Tel: 2820 7824/ 2820 3582 E-mail : nilesh@ngshah.com
Peer Review No. 698/2020

1011, C Wing, Shivam Centrium, Next to D'Mart, Sahar Road, Andheri (East), Mumbai - 400069.
Tel.: 9820180091 Email: ngshah.es@gmail.com



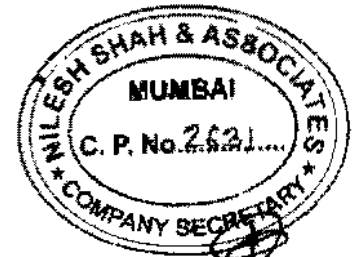
NILESH SHAH & ASSOCIATES

Company Secretaries

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018 (to the extent applicable);
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (SEBI Insider Trading Regulations);
- (e) Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993, regarding the Companies Act and dealing with client;
- (f) Securities and Exchange Board of India (Depository and Participant) Regulations, 1996 / Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018;
- (g) The Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014 (till 12th August, 2021) and The Securities and Exchange Board of India (Share Based Employee Benefits and Sweat Equity) Regulations, 2021 (w.e.f. 13th August, 2021);

and circulars/ guidelines issued thereunder and based on the above examination, we hereby report that, during the financial year ended **31st March, 2022**:

- (a) The Company has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder. In respect of convening Meeting of Board of Directors viz. compliance of Regulation 17 (2), 32, 33 etc. of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and Section 173 (1) of Companies Act, 2013, the Company has relied on the SEBI Circular No. SEBI/HO/CFD/CMD1/P/CIR/2021/556 dated 29.04.2021 vide which the SEBI had extended submission of Quarterly financial results/Annual audited financial results upto 30.06.2021 and General Circular No. 08/2021 of the Ministry of Corporate Affairs dated 03.05.2021 vide which the Ministry of Corporate Affairs have extended the time gap referred u/s. 173 of the Companies Act, 2013 between two consecutive Board meetings up to 180 days.



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Peer Review No. 693/2020

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NILESH SHAH & ASSOCIATES

Company Secretaries

- (b) The Company has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from our examination of those records;
- (c) No actions were taken against the Company/ its Promoters/ Directors/ Material Subsidiaries, if any either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder;
- (d) The Company was not required to take corrective action as there were no adverse observations made in previous reports;
- (e) The Listed entity has complied with the provisions of SEBI Circular/ CIR/ CFD/CMD 1/114/2019 dated 18th October, 2019 in respect of Terms of Appointment / Resignation of Auditor as applicable.

Please note that due to COVID-19 outbreak and Lockdown situation, in respect of part of the Audit, we have relied on the information, details, data, documents and explanation as provided by the Company and its officers and agents in electronic form and partial physical inspection.


Date: 25.05.2022

Place: Mumbai

Peer Review No.: 698/2020

UDIN: F004554D000390038

Signature:

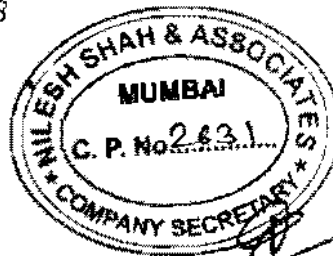

25/05/2022

Name: Nilesh Shah (Partner)

For: Nilesh Shah & Associates

FCS: 4554

C.P.: 2631



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